

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

81 + 357
references

Application Number

10/057,197

Filing Date

October 26, 2001

First Named Inventor

Martin J. WENSLEY

Group Art Unit

3761

Examiner Name

D. Erez

Attorney Docket No.

509032001500

RECEIVED

JAN 21 2003

TECHNOLOGY CENTER 3700

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declarations

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement - 4
pages

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify
below):

1. Form PTO-1449 + 4 copies - 85 pages
2. Three hundred twenty-seven (327)
references and thirty (30) copies of
patent applications plus 11 cover
sheets
3. Return postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

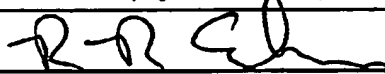
Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018

or

Individual Name

Richard R. Eckman (Registration No. 42,504)

Signature



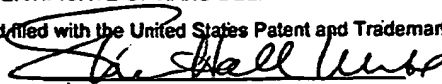
Date

December 13, 2002

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand delivered with the United States Patent and Trademark Office in Washington, D.C.

1/21/03
Date



Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

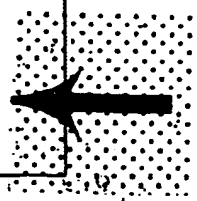
6 / WDS

PATENT
Docket No. 509032001500

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C.

Date _____



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Martin J. WENSLEY et al.

Serial No.: 10/057,197

Filing Date: October 26, 2001

For: AEROSOL GENERATING METHOD
AND DEVICE

Examiner: D. Erez

Group Art Unit: 3761

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

Applicants bring to the attention of the Examiner the following patent applications
(copies attached).

	Filing Date	Serial No.	Inventor
1.	10/26/2001	10/057,197	Wensley et al.
2.	10/26/2001	10/057,198	Lloyd et al.
3.	10/25/2002	10/280,315	Shen
4.	05/13/2002	10/146,086	Quintana et al.
5.	05/13/2002	10/146,515	Quintana et al.
6.	05/13/2002	10/146,080	Hodges et al.
7.	05/13/2002	10/146,088	Hale et al.
8.	05/13/2002	10/146,516	Rabinowitz et al.
9.	05/17/2002	10/150,591	Rabinowitz et al.
10.	05/20/2002	10/152,652	Rabinowitz et al.
11.	05/15/2002	10/150,268	Rabinowitz et al.
12.	05/15/2002	10/150,267	Rabinowitz et al.
13.	05/21/2002	10/153,839	Rabinowitz et al.
14.	05/23/2002	10/155,097	Rabinowitz et al.
15.	05/16/2002	10/151,596	Rabinowitz et al.
16.	05/21/2002	10/153,831	Rabinowitz et al.
17.	05/20/2002	10/152,639	Rabinowitz et al.
18.	05/16/2002	10/151,626	Rabinowitz et al.
19.	05/22/2002	10/155,703	Rabinowitz et al.
20.	05/15/2002	10/150,056	Rabinowitz et al.
21.	05/21/2002	10/153,313	Rabinowitz et al.
22.	05/22/2002	10/155,373	Rabinowitz et al.
23.	05/22/2002	10/155,621	Hale et al.
24.	05/22/2002	10/155,705	Hale et al.
25.	05/20/2002	10/152,640	Rabinowitz et al.
26.	05/17/2002	10/150,857	Rabinowitz et al.
27.	05/20/2002	10/153,139	Rabinowitz et al.
28.	05/21/2002	10/153,311	Rabinowitz et al.
29.	05/23/2002	10/154,765	Rabinowitz et al.
30.	05/23/2002	10/154,594	Rabinowitz et al.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of _ is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of _ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

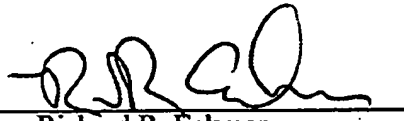
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 509032001500. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: December 13, 2002

Respectfully submitted,

By: 
Richard R. Eckman
Registration No. 42,504

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5654
Facsimile: (650) 494-0792

COPYPTO/SB/08 (2-92)
Sheet 1 of 13

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Docket Number 509032001500	Application Number 10/057,197
	Applicant Martin J. WENSLEY et al.	
	Filing Date October 26, 2001	Group Art Unit 3761

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/04/1941	2,230,753	Klavehn et al.			
	2.	02/04/1941	2,230,754	Klavehn et al.			
	3.	11/01/1966	3,282,729	Richardson et al.			
	4.	01/03/1967	3,296,249	Bell			
	5.	01/17/1967	3,299,185	Oda et al.			
	6.	02/27/1968	3,371,085	Reeder et al.			
	7.	10/31/1972	3,701,782	Hester			
	8.	08/27/1974	3,831,606	Damani			
	9.	02/04/1975	3,864,326	Babington			
	10.	07/08/1975	3,894,040	Buzby, Jr.			
	11.	09/30/1975	3,909,463	Hartman			
	12.	03/16/1976	3,943,941	Boyd et al.			
	13.	07/27/1976	3,971,377	Damani			
	14.	09/21/1976	3,982,095	Robinson			
	15.	02/22/1977	4,008,723	Borthwick et al.			
	16.	03/21/1978	4,079,742	Rainer et al.			
	17.	08/01/1978	4,104,210	Coran et al.			
	18.	10/24/1978	4,121,583	Chen			
	19.	07/10/1979	4,160,765	Weinstock			
	20.	08/28/1979	4,166,087	Cline, et al.			
	21.	02/26/1980	4,190,654	Gherardi et al.			
	22.	04/15/1980	4,198,200	Fonda et al.			
	23.	05/27/1980	Re. 30,285	Babington			
	24.	08/26/1980	4,219,031	Rainer et al.			
	25.	10/28/1980	4,229,931	Schlueter et al.			
	26.	12/02/1980	4,236,544	Osaka			

EXAMINER:	DATE CONSIDERED:
------------------	-------------------------

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 509032001500

Application Number 10/057,197

Applicant

Martin J. WENSLEY et al.

Filing Date October 26, 2001

Group Art Unit 3761

27.	02/17/1981	4,251,525	Weinstock			
28.	07/28/1981	4,280,629	Slaughter			
29.	08/18/1981	4,284,089	Ray			
30.	09/01/1981	4,286,604	Ehretsmann et al.			
31.	12/01/1981	4,303,083	Burruss, Jr.			
32.	07/20/1982	4,340,072	Bolt et al.			
33.	09/07/1982	4,347,855	Lanzillotti et al.			
34.	03/15/1983	4,376,767	Sloan			
35.	07/05/1983	4,391,285	Burnett et al.			
36.	12/27/1983	4,423,071	Chignac et al.			
37.	10/02/1984	4,474,191	Steiner			
38.	06/18/1985	4,523,589	Krauser			
39.	01/28/1986	4,566,451	Badewien			
40.	05/13/1986	4,588,425	Usry et al.			
41.	05/27/1986	4,591,615	Aldred et al.			
42.	03/31/1987	4,654,370	Marriott, III et al.			
43.	09/15/1987	4,693,868	Katsuda et al.			
44.	11/24/1987	4,708,151	Shelar			
45.	12/22/1987	4,714,082	Banerjee et al.			
46.	03/29/1988	4,734,560	Bowen			
47.	04/05/1988	4,735,217	Gerth et al.			
48.	06/28/1988	4,753,758	Miller			
49.	07/05/1988	4,755,508	Bock et al.			
50.	07/12/1988	4,756,318	Clearman et al.			
51.	08/23/1988	4,765,347	Sensabaugh, Jr. et al.			
52.	09/20/1988	4,771,795	White et al.			
53.	10/04/1988	4,774,971	Vieten			
54.	12/27/1988	4,793,365	Sensabaugh, Jr. et al.			
55.	12/27/1988	4,793,366	Hill			
56.	01/31/1989	4,800,903	Ray et al.			
57.	01/31/1989	4,801,411	Wellingshoff et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 509032001500

Application Number 10/057,197

Applicant

Martin J. WENSLEY et al.

Filing Date October 26, 2001

Group Art Unit 3761

	58.	03/21/1989	4,814,161	Jinks et al.			
	59.	04/11/1989	4,819,665	Roberts et al.			
	60.	07/18/1989	4,848,374	Chard et al.			
	61.	08/01/1989	4,852,561	Sperry			
	62.	08/01/1989	4,853,517	Bowen et al.			
	63.	08/08/1989	4,854,331	Banerjee et al.			
	64.	08/22/1989	4,858,630	Banerjee et al.			
	65.	09/05/1989	4,863,720	Burghart et al.			
	66.	11/21/1989	4,881,556	Clearman et al.			
	67.	12/26/1989	4,889,850	Thornfeldt et al.			
	68.	01/23/1990	4,895,719	Radhakrishnan et al.			
	69.	03/06/1990	4,906,417	Gentry			
	70.	04/17/1990	4,917,119	Potter et al.			
	71.	04/17/1990	4,917,120	Hill			
	72.	04/17/1990	4,917,830	Ortiz et al.			
	73.	05/08/1990	4,922,901	Brooks et al.			
	74.	05/29/1990	4,928,714	Shannon			
	75.	07/17/1990	4,941,483	Ridings et al.			
	76.	08/14/1990	4,947,874	Brooks et al.			
	77.	08/14/1990	4,947,875	Brooks et al.			
	78.	08/21/1990	4,950,664	Goldberg			
	79.	09/11/1990	4,955,945	Weick			
	80.	09/25/1990	4,959,380	Wilson			
	81.	10/16/1990	4,963,289	Ortiz et al.			
	82.	02/05/1991	4,989,619	Clearman et al.			
	83.	05/21/1991	5,016,425	Weick			
	84.	05/28/1991	5,019,122	Clearman et al.			
	85.	06/04/1991	5,020,548	Farrier et al.			
	86.	07/02/1991	5,027,836	Shannon et al.			
	87.	07/23/1991	5,033,483	Clearman et al.			
	88.	08/27/1991	5,042,509	Banerjee et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 509032001500

Application Number 10/057,197

Applicant

Martin J. WENSLEY et al.

Filing Date October 26, 2001

Group Art Unit 3761

	89.	10/29/1991	5,060,666	Clearman et al.			
	90.	10/29/1991	5,060,667	Strubel			
	91.	10/29/1991	5,060,671	Counts et al.			
	92.	11/26/1991	5,067,499	Banerjee et al.			
	93.	12/17/1991	5,072,726	Mazloomdoost et al.			
	94.	12/31/1991	5,076,292	Sensabaugh, Jr. et al.			
	95.	03/31/1992	5,099,861	Clearman et al.			
	96.	04/21/1992	5,105,831	Banerjee et al.			
	97.	06/02/1992	5,118,494	Schultz et al.			
	98.	06/09/1992	5,119,834	Shannon et al.			
	99.	07/28/1992	5,133,368	Neumann et al.			
	100.	08/11/1992	5,137,034	Perfetti et al.			
	101.	10/20/1992	5,156,170	Clearman et al.			
	102.	11/17/1992	5,164,740	Ivri			
	103.	11/24/1992	5,166,202	Schweizer			
	104.	12/01/1992	5,167,242	Turner et al.			
	105.	01/05/1993	5,177,071	Freidinger et al.			
	106.	03/09/1993	5,192,548	Velasquez et al.			
	107.	07/06/1993	5,224,498	Deevi et al.			
	108.	07/20/1993	5,229,120	DeVincent			
	109.	07/20/1993	5,229,382	Chakrabarti et al.			
	110.	10/05/1993	5,249,586	Morgan et al.			
	111.	11/23/1993	5,264,433	Sato et al.			
	112.	03/08/1994	5,292,499	Evans et al.			
	113.	07/26/1994	5,333,106	Lanpher et al.			
	114.	09/13/1994	5,345,951	Serrano et al.			
	115.	02/14/1995	5,388,574	Ingebretsen			
	116.	02/21/1995	5,391,081	Lampotang et al.			
	117.	03/21/1995	5,399,574	Robertson et al.			
	118.	03/28/1995	5,400,808	Turner et al.			
	119.	07/25/1995	5,436,230	Soudant, et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 509032001500

Application Number 10/057,197

Applicant

Martin J. WENSLEY et al.

Filing Date October 26, 2001

Group Art Unit 3761

	120.	09/19/1995	5,451,408	Mezei et al.			
	121.	10/03/1995	5,455,043	Fischel-Ghodsian			
	122.	10/10/1995	5,456,247	Shilling et al.			
	123.	10/10/1995	5,456,677	Spector			
	124.	10/10/1995	5,457,100	Daniel (& File history attached)			
	125.	10/10/1995	5,457,101	Greenwood et al.			
	126.	10/17/1995	5,459,137	Andrasi et al.			
	127.	10/31/1995	5,462,740	Evenstad et al.			
	128.	03/26/1996	5,501,236	Hill et al.			
	129.	04/16/1996	5,507,277	Rubsamen et al.			
	130.	04/30/1996	5,511,726	Greenspan et al.			
	131.	05/21/1996	5,519,019	Andrasi et al.			
	132.	06/11/1996	5,525,329	Snyder, et al.			
	133.	08/06/1996	5,543,434	Weg			
	134.	08/13/1996	5,544,646	Lloyd et al.			
	135.	12/17/1996	5,584,701	Lampotang et al.			
	136.	12/24/1996	5,586,550	Ivri et al.			
	137.	02/25/1997	5,605,897	Beasley, Jr. et al.			
	138.	03/04/1997	5,607,691	Hale et al.			
	139.	04/22/1997	5,622,944	Hale et al.			
	140.	05/06/1997	5,627,178	Chakrabarti et al.			
	141.	08/12/1997	5,655,523	Hodson et al.			
	142.	08/12/1997	5,656,255	Jones			
	143.	08/26/1997	5,660,166	Lloyd et al.			
	144.	09/16/1997	5,666,977	Higgins et al.			
	145.	11/25/1997	5,690,809	Subramaniam et al.			
	146.	12/09/1997	5,694,919	Rubsamen et al.			
	147.	03/10/1998	5,724,957	Rubsamen et al.			
	148.	03/10/1998	5,725,756	Subramaniam et al.			
	149.	03/31/1998	5,733,572	Unger et al.			
	150.	04/07/1998	5,735,263	Rubsamen et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 509032001500

Application Number 10/057,197

Applicant

Martin J. WENSLEY et al.

Filing Date October 26, 2001

Group Art Unit 3761

	151.	04/28/1998	5,743,250	Gonda et al.			
	152.	04/28/1998	5,743,251	Howell et al.			
	153.	04/28/1998	5,744,469	Tran			
	154.	05/05/1998	5,747,001	Wiedmann et al.			
	155.	05/26/1998	5,756,449	Andersen et al.			
	156.	06/02/1998	5,758,637	Ivri et al.			
	157.	06/23/1998	5,770,222	Unger et al.			
	158.	06/30/1998	5,771,882	Psaros et al.			
	159.	07/07/1998	5,776,928	Beasley, Jr.			
	160.	02/17/1998	5,718,222	Lloyd et al.			
	161.	10/06/1998	5,817,656	Beasley, Jr. et al.			
	162.	10/13/1998	5,819,756	Mielordt			
	163.	10/20/1998	5,823,178	Lloyd et al.			
	164.	11/10/1998	5,833,891	Subramaniam et al.			
	165.	11/24/1998	5,840,246	Hammons et al.			
	166.	01/05/1999	5,855,564	Ruskewicz			
	167.	01/05/1999	5,855,913	Hanes et al.			
	168.	02/23/1999	5,874,064	Edwards et al.			
	169.	03/02/1999	5,875,776	Vaghefi			
	170.	03/09/1999	5,878,752	Adams et al.			
	171.	03/23/1999	5,884,620	Gonda et al.			
	172.	04/6/1999	5,890,908	Lampotang et al.			
	173.	05/25/1999	5,906,811	Hersh			
	174.	05/25/1999	5,907,075	Subramaniam et al.			
	175.	06/08/1999	5,910,301	Farr et al.			
	176.	06/29/1999	5,915,378	Lloyd et al.			
	177.	07/27/1999	5,928,520	Haumesser			
	178.	07/27/1999	5,929,093	Pang et al.			
	179.	08/10/1999	5,934,272	Lloyd et al.			
	180.	08/10/1999	5,935,604	Illum			
	181.	08/17/1999	5,938,117	Ivri			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 509032001500

Application Number 10/057,197

Applicant

Martin J. WENSLEY et al.

Filing Date October 26, 2001

Group Art Unit 3761

182.	08/17/1999	5,939,100	Albrechtsen et al.			
183.	08/24/1999	5,941,240	Gonda et al.			
184.	08/31/1999	5,944,012	Pera			
185.	09/28/1999	5,957,124	Lloyd et al.			
186.	10/05/1999	5,960,792	Lloyd et al.			
187.	10/26/1999	5,970,973	Gonda et al.			
188.	10/26/1999	5,971,951	Ruskewicz			
189.	11/30/1999	5,993,805	Sutton et al.			
190.	12/21/1999	6,004,970	O'Malley et al.			
191.	12/28/1999	6,008,214	Kwon et al.			
192.	12/28/1999	6,008,216	Chakrabarti et al.			
193.	01/11/2000	6,013,050	Bellhouse et al.			
194.	01/18/2000	6,014,969	Lloyd et al.			
195.	01/18/2000	6,014,970	Ivri et al.			
196.	04/04/2000	6,044,777	Walsh			
197.	04/11/2000	6,048,550	Chan et al.			
198.	04/11/2000	6,048,857	Ellinwood, Jr. et al.			
199.	04/18/2000	6,051,257	Kodas et al.			
200.	06/20/2000	Re. 36,744	Goldberg			
201.	07/18/2000	6,089,857	Matsuura et al.			
202.	07/18/2000	6,090,403	Block et al.			
203.	08/01/2000	6,095,134	Sievers et al.			
204.	08/01/2000	6,095,153	Kessler et al.			
205.	08/08/2000	6,098,620	Lloyd et al.			
206.	09/05/2000	6,113,795	Subramaniam et al.			
207.	09/12/2000	6,117,866	Bondinell et al.			
208.	10/03/2000	6,125,853	Susa et al.			
209.	10/17/2000	6,131,566	Ashurst et al.			
210.	10/17/2000	6,131,570	Schuster et al.			
211.	10/17/2000	6,133,327	Kimura et al.			
212.	10/24/2000	6,135,369	Prendergast et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 509032001500

Application Number 10/057,197

Applicant

Martin J. WENSLEY et al.

Filing Date October 26, 2001

Group Art Unit 3761

	213.	10/24/2000	6,136,295	Edwards et al.			
	214.	10/31/2000	6,138,683	Hersh et al.			
	215.	10/31/2000	6,140,323	Ellinwood, Jr. et al.			
	216.	11/07/2000	6,143,277	Ashurst et al.			
	217.	11/07/2000	6,143,746	Daugan et al.			
	218.	11/21/2000	6,149,892	Britto			
	219.	01/02/2001	6,167,880	Gonda et al.			
	220.	05/22/2001	6,234,167	Cox et al.			
	221.	07/24/2001	6,263,872	Schuster et al.			
	222.	09/04/2001	6,284,287	Sarlikiotis et al.			
	223.	10/23/2001	6,306,431	Zhang et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	224.	01/02/1996	CA 2,152,684	Canada			
	225.	02/23/1994	CN 1082365	China			Abstract only
	226.	03/18/1998	CN 1176075A	China			Abstract
	227.	07/13/1988	EP 0 274 431	Europe			
	228.	08/10/1988	EP 0 277 519	Europe			
	229.	03/14/1990	EP 0 358 114	Europe			
	230.	07/01/1992	EP 0 492 485	Europe			
	231.	01/29/1938	GB 502 761	Great Britain			
	232.	05/18/1983	GB 2 108 390	Great Britain			
	233.	01/25/1984	GB 2 122 903	Great Britain			
	234.	02/14/1985	WO 85/00520	WIPO			
	235.	06/13/1991	WO 91/07947	WIPO			
	236.	09/17/1992	WO 92/15353	WIPO			
	237.	11/12/1992	WO 92/19303	WIPO			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 509032001500

Application Number 10/057,197

Applicant

Martin J. WENSLEY et al.

Filing Date October 26, 2001

Group Art Unit 3761

	238.	07/08/1993	WO 93/12823	WIPO			
	239.	05/11/1994	WO 94/09842	WIPO			
	240.	08/04/1994	WO 94/16717	WIPO			
	241.	08/04/1994	WO 94/16757	WIPO			
	242.	08/04/1994	WO 94/16759	WIPO			
	243.	08/04/1994	WO 94/17369	WIPO			
	244.	08/04/1994	WO 94/17370	WIPO			
	245.	12/08/1994	WO 94/27576	WIPO			
	246.	12/08/1994	WO 94/27653	WIPO			
	247.	11/23/1995	WO 95/31182	WIPO			
	248.	01/04/1996	WO 96/00069	WIPO			
	249.	01/04/1996	WO 96/00070	WIPO			
	250.	01/04/1996	WO 96/00071	WIPO			
	251.	04/04/1996	WO 96/10663	WIPO			
	252.	04/11/1996	WO 96/09846	WIPO			
	253.	05/09/1996	WO 96/13161	WIPO			
	254.	05/09/1996	WO 96/13290	WIPO			
	255.	05/09/1996	WO 96/13291	WIPO			
	256.	05/09/1996	WO 96/13292	WIPO			
	257.	10/03/1996	WO 96/30068	WIPO			
	258.	05/09/1997	WO 97/16181	WIPO			
	259.	07/03/1997	WO 97/23221	WIPO			
	260.	08/07/1997	WO 97/27804	WIPO			
	261.	09/04/1997	WO 97/31691	WIPO			
	262.	12/31/1997	WO 97/49690	WIPO			
	263.	05/28/1998	WO 98/22170	WIPO			
	264.	07/09/1998	WO 98/29110	WIPO			
	265.	08/27/1998	WO 98/36651	WIPO			
	266.	02/04/1999	WO 99/04797	WIPO			
	267.	04/08/1999	WO 99/16419	WIPO			
	268.	05/20/1999	WO 99/24433	WIPO			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 509032001500

Application Number 10/057,197

Applicant

Martin J. WENSLEY et al.

Filing Date October 26, 2001

Group Art Unit 3761

	269.	07/29/1999	WO 99/37347	WIPO			
	270.	07/29/1999	WO 99/37625	WIPO			
	271.	09/10/1999	WO 99/44664	WIPO			
	272.	11/25/1999	WO 99/59710	WIPO			
	273.	12/16/1999	WO 99/64094	WIPO			
	274.	01/06/2000	WO 00/00176	WIPO			
	275.	01/06/2000	WO 00/00215	WIPO			
	276.	01/06/2000	WO 00/00244	WIPO			
	277.	04/13/2000	WO 00/19991	WIPO			
	278.	05/25/2000	WO 00/29053	WIPO			
	279.	05/25/2000	WO 00/29167	WIPO			
	280.	07/06/2000	WO 00/38618	WIPO			
	281.	08/03/2000	WO 00/44350	WIPO			
	282.	08/03/2000	WO 00/44730	WIPO			
	283.	09/08/2000	WO 00/51491	WIPO			
	284.	11/02/2000	WO 00/64940	WIPO			
	285.	11/09/2000	WO 00/66084	WIPO			
	286.	11/09/2000	WO 00/66106	WIPO			
	287.	11/09/2000	WO 00/66206	WIPO			
	288.	12/21/2000	WO 00/76673	WIPO			
	289.	01/25/2001	WO 01/05459	WIPO			
	290.	03/22/2001	WO 01/19528	WIPO			
	291.	04/26/2001	WO 01/29011	WIPO			

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	292.	Anderson, M.E. (1982). "Recent Advances in Methodology and Concepts for Characterizing Inhalation Pharmacokinetic Parameters in Animals and Man," <i>Drug Metabolism Reviews</i> . 13(5):799-826.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>		Docket Number 509032001500	Application Number 10/057,197
		Applicant <div style="text-align: center;">Martin J. WENSLEY et al.</div>	
		Filing Date October 26, 2001	Group Art Unit 3761
	293.	Bennett, R. L. et al. (1981). "Patient-Controlled Analgesia: A New Concept of Postoperative Pain Relief," <i>Annual Surg.</i> 195(6):700-705.	
	294.	Benowitz (1994). "Individual Differences in Nicotine Kinetics and Metabolism in Humans," NIDA Research Monography, 2 pages.	
	295.	BP: Chemicals Products-Barrier Resins (1999). located at < http://www.bp.com/chemicals/products/product.asp > (visited on August 2, 2001), 8 pages.	
	296.	Brand, P. et al. (June 2000). "Total Deposition of Therapeutic Particles During Spontaneous and Controlled Inhalations," <i>Journal of Pharmaceutical Sciences</i> . 89(6):724-731.	
	297.	Clark, A. and Byron, P. (1986). "Dependence of Pulmonary Absorption Kinetics on Aerosol Particle Size," <i>Z. Erkrank.</i> 166:13-24.	
	298.	Dallas, C. et al. (1983). "A Small Animal Model for Direct Respiratory and Hemodynamic Measurements in Toxicokinetic Studies of Volatile Chemicals," <u>Developments in the Science and Practice of Toxicology</u> . Hayes, A. W. et al. eds., Elsevier Science Publishers, New York. pp.419-422.	
	299.	Darquenne, C. et al. (1997). "Aerosol Dispersion in Human Lung: Comparison Between Numerical Simulations and Experiments for Bolus Tests," <i>American Physiological Society</i> . 966-974.	
	300.	Davies, C. N. et al. (May 1972). "Breathing of Half-Micron Aerosols," <i>Journal of Applied Physiology</i> . 32(5):591-600.	
	301.	Dershwitz, M., M.D., et al. (September 2000). "Pharmacokinetics and Pharmacodynamics of Inhaled versus Intravenous Morphine in Healthy Volunteers," <i>Anesthesiology</i> . 93(3): 619-628.	
	302.	Drugs Approved by the FDA -Drug Name: Nicotrol Inhaler (2000) located at < http://www.centerwatch.com/patient/drugs/dru202.html > (Visited on August 2, 2001), 2 pages.	
	303.	Feynman, R.P. et al. (1964). "Chapter 32: Refractive Index of Dense Materials" <u>The Feynman Lectures on Physics: Mainly Electromagnetism and Matter</u> . Addison-Wesley: Publishing Company, Inc., Reading, Massachusetts: pp. 32-1 - 32-13.	
	304.	Finlay, W. H. (2001). "The Mechanics of Inhaled Pharmaceutical Aerosols", Academic Press: San Diego Formula 2.39. pp.3-14 (Table of Contents). pp. v-viii.	
	305.	Gonda, I. (1991). "Particle Deposition in the Human Respiratory Tract," Chapter 176, <u>The Lung: Scientific Foundations</u> . Crystal R.G. and West, J.B. (eds.), Raven Publishers, New York. pp. 2289-2294.	
	306.	Graves, D. A. et al. (1983). "Patient-Controlled Analgesia," <i>Annals of Internal Medicine</i> . 99:360-366.	
	307.	Anonymous, (June 1998) <u>Guidance for Industry: Stability testing of drug substances and products</u> , U.S. Department of Health and Human Services, FDA, CDER, CBER, pp. 1-110.	
	308.	Heyder, J. et al. (1986). "Deposition of Particles in the Human Respiratory Tract in the Size Range 0.005-15 μm ," <i>J. Aerosol Sci.</i> 17(5):811-822.	
EXAMINER:		DATE CONSIDERED:	
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.			

Form PTO-1449		Docket Number 509032001500	Application Number 10/057,197
INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>		Applicant	
		Martin J. WENSLEY et al.	
		Filing Date October 26, 2001	Group Art Unit 3761
	309.	Hurt, R. D., MD and Robertson, C. R., PhD, (October 1998). "Prying Open the Door to the Tobacco Industry's Secrets About Nicotine: The Minnesota Tobacco Trial," <i>JAMA</i> 280(13):1173-1181.	
	310.	Hwang, S. L. (June 1999). "Artificial Nicotine Studied: R. J. Reynolds Seeks to Develop Drugs that Mimic Tobacco's Potent Effects on Brain," <i>Wall Street Journal</i> , 3 pages.	
	311.	James, A.C. et al., (1991). "The Respiratory Tract Deposition Model Proposed by the ICRP Task Group," <i>Radiation Protection Dosimetry</i> , 38(1/3):159-165.	
	312.	Kim, M. H. and Patel, D.V. (1994). "'BOP' As a Reagent for Mild and Efficient Preparation of Esters," <i>Tet. Letters</i> 35:5603-5606.	
	313.	Lichtman, A. H. et al. (1996). "Inhalation Exposure to Volatilized Opioids Produces Antinociception in Mice," <i>Journal of Pharmacology and Experimental Therapeutics</i> . 279(1):69-76.	
	314.	Lopez, K. (July 1999). "UK Researcher Develops Nicotinic Drugs with R. J. Reynolds," located at < http://www.eurekalert.org/pub_releases/1999-07/UoKM-Urdn-260799.php > (visited on October 1, 2002), 1 page.	
	315.	Martin, B. R. and Lue, L. P. (May/June 1989). "Pyrolysis and Volatilization of Cocaine," <i>Journal of Analytical Toxicology</i> 13:158-162.	
	316.	Pankow, J. F. et al. (1997). "Conversion of Nicotine in Tobacco Smoke to Its Volatile and Available Free-Base Form through the Action of Gaseous Ammonia," <i>Environ. Sci. Technol.</i> 31:2428-2433.	
	317.	Pankow, J. (March 2000). ACS Conference-San Francisco-3/26/00. Chemistry of Tobacco Smoke. pp. 1-8.	
	318.	Poochikian, G. and Bertha, C.M. (2000). "Inhalation Drug Product Excipient Controls: Significance and Pitfalls," <i>Resp. Drug Deliv.</i> VII: 109-115.	
	319.	ScienceDaily Magazine, (July 1999). "University of Kentucky Researcher Develops Nicotinic Drugs with R. J. Reynolds," located at < http://www.sciencedaily.com/releases/1999/07/990728073542.htm > (visited on September 23, 2002), 2 pages.	
	320.	Seeman, J. et al. (1999). "The Form of Nicotine in Tobacco. Thermal Transfer of Nicotine and Nicotine Acid Salts to Nicotine in the Gas Phase," <i>J. Agric. Food Chem.</i> 47(12):5133-5145.	
	321.	Sekine, H. and Nakahara, Y. (1987). "Abuse of Smoking Methamphetamine Mixed with Tobacco: 1. Inhalation Efficiency and Pyrolysis Products of Methamphetamine," <i>Journal of Forensic Science</i> 32(5):1271-1280.	
	322.	Streitwieser, A. and Heathcock, C. H. eds., (1981). <u>Introduction to Organic Chemistry</u> . Second edition, Macmillan Publishing Co., Inc., New York, pp. ix-xvi. (Table of Contents).	
	323.	Tsantilis, S. et al. (2001). "Sintering Time for Silica Particle Growth," <i>Aerosol Science and Technology</i> 34:237-246.	
	324.	Vapotronics, Inc. (1998) located at < http://www.vapotronics.com.au/banner.htm >, 11 pages, (visited on June 5, 2000).	
EXAMINER:		DATE CONSIDERED:	
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.			

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 509032001500

Application Number 10/057,197

Applicant

Martin J. WENSLEY et al.

Filing Date October 26, 2001

Group Art Unit 3761

- | | |
|------|--|
| 325. | Vaughan, N.P. (1990). "The Generation of Monodisperse Fibres of Caffeine" <i>J. Aerosol Sci.</i> 21(3): 453-462. |
| 326. | Ward, M. E. MD, et al. (December 1997). "Morphine Pharmacokinetics after Pulmonary Administration from a Novel Aerosol Delivery System," <i>Clinical Pharmacology & Therapeutics</i> 62(6):596-609. |
| 327. | Williams, S. (February 1999). "Rhone-Poulenc Rorer Inc. and Targacept Inc. Announce Alliance to Develop New Drugs to Treat Alzheimer's and Parkinson's Diseases" located at http://www.rpr.rpna.com/ABOUT_RPR/pressrels/1999/990209-targa.html (last visited on January 28, 2000) 1 page. |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.